

Section 1.

Some statements equivalent to the  
quasi-Riemann hypothesis.

As usual in Number Theory, let  $s$  be a complex variable,  
 $\sigma = \text{Res}$ ,  $t = \text{Im}s$ . Let  $\zeta$  be Riemann's zeta function, and, for  
 $1 > \sigma_0 \geq \frac{1}{2}$ , let  $\text{RH}(\sigma_0)$  be the statement

$$\zeta(s) \neq 0 \text{ for } \sigma > \sigma_0.$$

We refer to this statement as the 'quasi-Riemann hypothesis'. With our  
notation,  $\text{RH}(\frac{1}{2})$  will then signify the Riemann hypothesis proper.

In this and later sections we have occasion to use the following  
result for expressing a Dirichlet series as an integral. The proof of  
this result is a simple application of a well-known technique but is  
included here for the sake of completeness.

Proposition 1.

Let  $a : \mathbb{N} \rightarrow \mathbb{C}$  satisfy

$$A(x) = \sum_{n \leq x} a(n) = O(x^\Delta)$$

as  $x \rightarrow \infty$ . Then for  $\sigma > \Delta$ ,

$$\sum_{n=1}^{\infty} \frac{a(n)}{n^s} = s \int_1^{\infty} \frac{A(x)}{x^{s+1}} dx .$$

Proof:

For  $\sigma > \Delta$ ,

$$\begin{aligned} \sum_{n=1}^{\infty} \frac{a(n)}{n^s} &= \lim_{N \rightarrow \infty} \sum_{n=1}^N \frac{a(n)}{n^s} \\ &= \lim_{N \rightarrow \infty} \sum_{n=1}^N \frac{A(n) - A(n-1)}{n^s} \quad (A(0) = 0) \\ &= \lim_{N \rightarrow \infty} \left\{ \sum_{n=1}^{N-1} A(n) \left( \frac{1}{n^s} - \frac{1}{(n+1)^s} \right) + \frac{A(N)}{N^s} \right\} \\ &= \lim_{N \rightarrow \infty} \left\{ \sum_{n=1}^{N-1} s \int_n^{n+1} \frac{A(x)}{x^{s+1}} dx + \frac{A(N)}{N^s} \right\} \\ &= s \int_1^{\infty} \frac{A(x)}{x^{s+1}} dx, \end{aligned}$$

and the function defined by the integral is analytic for  $\sigma > \Delta$ .

For real  $\kappa$  let

$$S_{\kappa}(x) = \sum_{n \leq x} \lambda(n) n^{\kappa}, \quad M_{\kappa}(x) = \sum_{n \leq x} \mu(n) n^{\kappa},$$

$$h_{\kappa}(x) = \sum_{n \leq x} \lambda(n) n^{\kappa-1}, \quad g_{\kappa}(x) = \sum_{n \leq x} \mu(n) n^{\kappa-1},$$

$$H_{\kappa}(x) = \sum_{n \leq x} h_{\kappa}(n), \quad G_{\kappa}(x) = \sum_{n \leq x} g_{\kappa}(n),$$

where  $\lambda$  is Liouville's function, and  $\mu$  is the Möbius function.

Proposition 2.

Let either  $\kappa = -1$  or  $\kappa > -\sigma_0$ . Then the following statements are equivalent:

- (i)  $\text{RH}(\sigma_0)$ ,
- (ii)  $\forall \varepsilon > 0, S_{\kappa}(x) = O(x^{\sigma_0 + \kappa + \varepsilon})$  as  $x \rightarrow \infty$ ,
- (iii)  $\forall \varepsilon > 0, H_{\kappa+1}(x) = O(x^{\sigma_0 + 1 + \kappa + \varepsilon})$  as  $x \rightarrow \infty$ ,
- (iv)  $\forall \varepsilon > 0, M_{\kappa}(x) = O(x^{\sigma_0 + \kappa + \varepsilon})$  as  $x \rightarrow \infty$ ,
- (v)  $\forall \varepsilon > 0, G_{\kappa+1}(x) = O(x^{\sigma_0 + 1 + \kappa + \varepsilon})$  as  $x \rightarrow \infty$ .

Proof:

We show that (i)  $\Leftrightarrow$  (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i). The proof that (i)  $\Leftrightarrow$  (iv)  $\Rightarrow$  (v)  $\Rightarrow$  (i) is similar.

To show that (i)  $\Rightarrow$  (ii) suppose that  $\text{RH}(\sigma_0)$  is true and consider first the case  $\kappa = -1$ . The method in Titchmarsh [1], pages 282-283, can be modified to argue that  $\zeta(s) = O(t^{\varepsilon})$ ,

$\frac{1}{\zeta(s)} = O(t^{\varepsilon})$  as  $t \rightarrow \infty$ , for every  $\sigma > \sigma_0$ , and every  $\varepsilon > 0$ . Now let

$$f(s) = \zeta(2s) / \zeta(s).$$

Then for every  $\sigma > \sigma_0$  and any  $\varepsilon > 0$ ,  $f(s) = O(t^{\varepsilon})$  as  $t \rightarrow \infty$ , and by Titchmarsh [1], page 6,

$$\sum_{n=1}^{\infty} \frac{\lambda(n)}{n^s} = f(s) \quad \text{for } \sigma > 1.$$

Also it is clear that  $f(1) = 0$ . Using a procedure similar to that in Titchmarsh [1], page 315 we thus get

$$\begin{aligned} S_{-1}(x) &= \sum_{n \leq x} \frac{\lambda(n)}{n} \\ &= \frac{1}{2\pi i} \int_{2-iT}^{2+iT} f(w+1) \frac{x^w}{w} dw + O\left(\frac{x^2}{T}\right) \end{aligned}$$

4.

$$\begin{aligned}
 &= \frac{1}{2\pi i} \int_{2-iT}^{\sigma_0-1+\delta-iT} + \int_{\sigma_0-1+\delta-iT}^{\sigma_0-1+\delta+iT} + \int_{\sigma_0-1+\delta+iT}^{2+iT} f(w+1) \frac{x^w}{w} dw + \\
 &\quad + O\left(\frac{x^2}{T}\right), \\
 &= O(T^{-1+\varepsilon} x^2) + O(T^\varepsilon x^{\sigma_0-1+\delta})
 \end{aligned}$$

as  $x \rightarrow \infty$ , provided  $\varepsilon > 0$ , and  $0 < \delta < 1 - \sigma_0$ . Hence, choosing  $T = x^3$ , for every  $\varepsilon > 0$ ,

$$S_{-1}(x) = O(x^{\sigma_0-1+\varepsilon}) \text{ as } x \rightarrow \infty,$$

i.e. (i)  $\Rightarrow$  (ii) when  $\kappa = -1$ .

That (i)  $\Rightarrow$  (ii) when  $\kappa > -\sigma_0$  can now be deduced as follows. If  $\kappa > -\sigma_0$  and  $\varepsilon > 0$ , then

$$\begin{aligned}
 S_{\kappa}(x) &= \sum_{n \leq x} (S_{-1}(n) - S_{-1}(n-1)) n^{\kappa+1} \\
 &= \sum_{n \leq x} S_{-1}(n) (n^{\kappa+1} - (n+1)^{\kappa+1}) + \\
 &\quad + S_{-1}(x) [x+1]^{\kappa+1} \\
 &= O\left(\sum_{n \leq x} n^{\kappa+\sigma_0-1+\varepsilon}\right) + O(x^{\kappa+\sigma_0+\varepsilon}) \\
 &= O(x^{\kappa+\sigma_0+\varepsilon})
 \end{aligned}$$

as  $x \rightarrow \infty$ , for every  $\varepsilon > 0$ .

To show that (ii)  $\Rightarrow$  (i) suppose that for every  $\varepsilon > 0$ ,

$$S_{\kappa}(x) = O(x^{\kappa+\sigma_0+\varepsilon}) \text{ as } x \rightarrow \infty.$$

Then, by partial summation,

$\sum_{n=1}^{\infty} \frac{\lambda(n)n^{\kappa}}{n^s}$  converges and represents an analytic function for

$$\sigma > \sigma_0 + \kappa.$$

Then from

$$\sum_{n=1}^{\infty} \frac{\lambda(n)n^{\kappa}}{n^s} = \frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)},$$

we see that  $\zeta(s)$  is non-zero for  $\sigma > \sigma_0$ .

To show that (ii)  $\Rightarrow$  (iii) suppose that  $\kappa = -1$  or  $\kappa > -\sigma_0$ , and that

$$\forall \epsilon > 0, S_{\kappa}(x) = O(x^{\sigma_0+\kappa+\epsilon}) \text{ as } x \rightarrow \infty.$$

Then, via (i), also

$$\forall \epsilon > 0, S_{\kappa+1}(x) = O(x^{\sigma_0+\kappa+1+\epsilon}) \text{ as } x \rightarrow \infty.$$

But

$$\begin{aligned} S_{\kappa+1}(x) &= \sum_{n \leq x} (S_{\kappa}(n) - S_{\kappa}(n-1))n \\ &= - \sum_{n \leq x} S_{\kappa}(n) + S_{\kappa}(x)[x+1], \end{aligned}$$

so that

$$\begin{aligned} (1) \quad H_{\kappa+1}(x) &= \sum_{n \leq x} h_{\kappa+1}(n) \\ &= \sum_{n \leq x} S_{\kappa}(n) \\ &= [x+1] S_{\kappa}(x) - S_{\kappa+1}(x) \\ &= O(x^{\sigma_0+\kappa+1+\epsilon}) \end{aligned}$$

as  $x \rightarrow \infty$ , for every  $\epsilon > 0$ .

To show that (iii)  $\Rightarrow$  (i), note first that the estimate,

$$S_{\kappa}(x) = O(x^{\kappa+1}) \quad \text{as } x \rightarrow \infty,$$

is trivial for  $\kappa > -1$ , and follows for  $\kappa = -1$  from

$$\begin{aligned} S_{-1}(x) &= \frac{1}{x} \sum_{n \leq x} \lambda(n) \left\lfloor \frac{x}{n} \right\rfloor + \frac{1}{x} \sum_{n \leq x} \lambda(n) \left\{ \frac{x}{n} \right\} \\ &= \frac{1}{x} [\sqrt{x}] + O(1) \end{aligned}$$

as  $x \rightarrow \infty$ .

Consequently, using proposition 1, and Titchmarsh [1], page 6, we have

$$\begin{aligned} \frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)} &= \sum_{n=1}^{\infty} \frac{\lambda(n)n^{\kappa}}{n^s} \\ (2) \quad &= s \int_1^{\infty} \frac{S_{\kappa}(x)}{x^{s+1}} dx \\ (3) \quad &= s \int_1^{\infty} \frac{x S_{\kappa}(x)}{x^{s+2}} dx \end{aligned}$$

for  $\sigma > \kappa + 1$ ,  $\kappa \geq -1$ .

Also, replacing  $s$  by  $s + 1$ , and  $\kappa$  by  $\kappa + 1$  in (2), for  $\sigma > \kappa + 1$ ,  $\kappa \geq -2$

$$(4) \quad \frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)} = (s+1) \int_1^{\infty} \frac{S_{\kappa+1}(x)}{x^{s+2}} dx.$$

Hence from (3) and (4), for  $\sigma > \kappa + 1$ ,  $\kappa \geq -1$ ,

$$(1) \quad \frac{1}{s(s+1)} \frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)} = \int_1^{\infty} \frac{x S_{\kappa}(x) - S_{\kappa+1}(x)}{x^{s+2}} dx.$$

From (1) we easily see

$$\begin{aligned} H_{\kappa+1}(x) &= x S_{\kappa}(x) - S_{\kappa+1}(x) + \\ &\quad + O(x^{\kappa+1}) \end{aligned}$$

as  $x \rightarrow \infty$ , and so from (5) for  $\sigma > \kappa + 1$ ,  $\kappa \geq -1$ ,

$$(6) \quad \frac{1}{s(s+1)} \frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)} = \int_1^\infty \frac{H_{\kappa+1}(x)}{x^{s+2}} dx + E_\kappa(s),$$

where  $E_\kappa(s)$  is analytic for  $\sigma > \kappa$ .

Finally if (iii) holds, i.e. if

$$\forall \varepsilon > 0, H_{\kappa+1}(x) = O(x^{\sigma_0 + \kappa + 1 + \varepsilon}), \text{ as } x \rightarrow \infty,$$

then the RHS of (6) is analytic for  $\sigma > \sigma_0 + \kappa + \varepsilon$ , and hence  $\zeta(s)$  must be non-zero for  $\sigma > \sigma_0$ .

Corollary:

Let  $\zeta(s)$  have zeros on  $\sigma = \sigma_1 > 0$ .

Let either  $\kappa = -1$  or  $\kappa > -\sigma_1$ .

Then

- (i)  $\forall \varepsilon > 0, H_{\kappa+1}(x) = O(x^{\kappa+1+\sigma_1-\varepsilon})$  as  $x \rightarrow \infty$ ,
- (ii)  $\forall \varepsilon > 0, G_{\kappa+1}(x) = O(x^{\kappa+1+\sigma_1-\varepsilon})$  as  $x \rightarrow \infty$ ,
- (iii)  $\forall \varepsilon > 0, S_\kappa(x) = O(x^{\kappa+\sigma_1-\varepsilon})$  as  $x \rightarrow \infty$ ,
- (iv)  $\forall \varepsilon > 0, M_\kappa(x) = O(x^{\kappa+\sigma_1-\varepsilon})$  as  $x \rightarrow \infty$ .

Proof of (i):

Suppose the statement

$$\forall \varepsilon > 0, H_{\kappa+1}(x) = O(x^{\kappa+1+\sigma_1-\varepsilon}) \text{ as } x \rightarrow \infty,$$

is false. Then there exists  $\varepsilon^* > 0$  such that

$$H_{\kappa+1}(x) = O(x^{\kappa+1+\sigma_1-\varepsilon^*}) \text{ as } x \rightarrow \infty,$$

and hence from the previous proposition

$\zeta(s)$  is zero free for  $\sigma > \sigma_1 - \epsilon^*$ ,

which contradicts the initial assumption. (ii), (iii), and (iv) follow similarly.

Note 1. Since  $\zeta(s)$  does have zeros on  $\sigma = \frac{1}{2}$  the statements of the corollary, with  $\sigma_1$  replaced by  $\frac{1}{2}$ , are all true.

Note 2. The most familiar functions appearing in the literature are

$$S(x) = S_0(x) = \sum_{n \leq x} \lambda(n), \quad M(x) = M_0(x) = \sum_{n \leq x} \mu(n),$$

$$h(x) = h_0(x) = \sum_{n \leq x} \frac{\lambda(n)}{n}, \quad g(x) = g_0(x) = \sum_{n \leq x} \frac{\mu(n)}{n},$$

$$H(x) = H_0(x) = \sum_{n \leq x} h_0(n), \quad G(x) = G_0(x) = \sum_{n \leq x} g_0(n).$$

We now prove an extension of the previous proposition in a specialised case.

$$\text{Let } S^*(x) = \sum_{n \leq x} \lambda(n) \left\{ \frac{x}{n} \right\}.$$

Proposition 3.

Let  $1 > \sigma_0 \geq \frac{1}{2}$ . The following statements are equivalent:

- (i)  $\forall \epsilon > 0, H(x) = O(x^{\sigma_0 + \epsilon})$  as  $x \rightarrow \infty$ ,
- (ii)  $\forall \epsilon > 0, h(x) = O(x^{\sigma_0 - 1 + \epsilon})$  as  $x \rightarrow \infty$ ,
- (iii)  $\forall \epsilon > 0, S(x) = O(x^{\sigma_0 + \epsilon})$  as  $x \rightarrow \infty$ ,
- (iv)  $\forall \epsilon > 0, S^*(x) = O(x^{\sigma_0 + \epsilon})$  as  $x \rightarrow \infty$ ,
- (v)  $\forall \epsilon > 0, S(x) - S^*(x) = O(x^{\sigma_0 + \epsilon})$  as  $x \rightarrow \infty$ ,
- (vi)  $\text{RH}(\sigma_0)$ .



Proof:

We have (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii)  $\Leftrightarrow$  (vi) from proposition 2.

From (1),

$$xh(x) = H(x) + S(x) + o(1) \quad \text{as } x \rightarrow \infty.$$

Also,

$$\begin{aligned} (7) \quad xh(x) - S^*(x) &= \sum_{n \leq x} \lambda(n) \left[ \frac{x}{n} \right] \\ &= [\sqrt{x}] , \end{aligned}$$

and hence from these two equations

$$(8) \quad H(x) = S^*(x) - S(x) + o(x^{\frac{1}{2}}) \quad \text{as } x \rightarrow \infty.$$

From (7), (ii)  $\Leftrightarrow$  (iv), and from (8), (i)  $\Leftrightarrow$  (v), thus completing the proof.

Note 3. In the previous proposition (ii)  $\Rightarrow$  (i) holds for every pair of functions  $k, K$  such that

$$K(x) = \sum_{n \leq x} k(n), \text{ and in this}$$

sense (i) is weaker than (ii), and in the next section we develop this theme further.

Note 4. A corresponding result to proposition 3 holds for the functions

$$G(x), g(x), M(x), M^*(x).$$

Note 5. Turan's conjecture that  $h(x) > 0$ , for  $x > 1$ , has been upset by numerical investigation (Haselgrove, C.B. [1]) but we note in the next section that the argument of Lehmer and Selberg [1], that  $G(x)$  changes sign infinitely often as  $x \rightarrow \infty$ , does not apply to  $H(x)$  if  $\text{RH}(\frac{1}{2})$  is true.

Section 2.

Further statements equivalent to  $\text{RH}(\sigma_0)$ .

The notion of 'weakness' we mention in note (3), section 1, manifests itself in higher averages.

$$\text{Let } A_{-1}(x) = \sum_{n \leq x} \frac{\lambda(n)}{n},$$

and for any integer  $k \geq 0$  let

$$A_k(x) = \sum_{n \leq x} A_{k-1}(n).$$

In this notation,

$$h(x) = A_{-1}(x),$$

and

$$H(x) = A_0(x).$$

In this section we prove:

Proposition 1.

For any fixed integer  $r \geq -1$ , the following statements are equivalent:

$$(i) \quad \text{RH}(\sigma_0),$$

$$(ii) \quad \text{For every } \varepsilon > 0, A_r(x) = O(x^{\sigma_0 + r + \varepsilon}) \text{ as } x \rightarrow \infty.$$

Before proceeding to the proof we establish some helpful lemmas:

Lemma 1.

For every integer  $r \geq -1$ ,

$$A_r(x) = \frac{1}{(r+1)!} x^{r+1} \sum_{n \leq x} \frac{\lambda(n)}{n} \left(1 - \frac{n}{x}\right)^{r+1} + O(x^r) \text{ as } x \rightarrow \infty.$$

Proof:

For  $r = -1$ , the truth of the above statement is seen from the definition of  $A_{-1}(x)$ .

Also,

$$\begin{aligned}
 A_0(x) &= \sum_{k \leq x} A_{-1}(k) \\
 &= \sum_{k \leq x} \sum_{n \leq k} \frac{\lambda(n)}{n} \\
 &= \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{n \leq k \leq [x]} 1 \\
 &= \sum_{n \leq x} \frac{\lambda(n)}{n} ([x] - n + 1) \\
 &= \sum_{n \leq x} \frac{\lambda(n)}{n} (x - n) + O(1) \\
 &= \frac{1}{1!} x^1 \sum_{n \leq x} \frac{\lambda(n)}{n} \left(1 - \frac{n}{x}\right)^1 + O(1), \text{ as } x \rightarrow \infty,
 \end{aligned}$$

and we see the proposition is true for  $r = 0$ .

Now suppose the proposition is true for  $r = R \geq 0$ .

Then

$$\begin{aligned}
 A_{R+1}(x) &= \sum_{k \leq x} A_R(k) \\
 &= \sum_{k \leq x} \frac{1}{(R+1)!} k^{R+1} \sum_{n \leq k} \frac{\lambda(n)}{n} \left(1 - \frac{n}{k}\right)^{R+1} + \\
 &\quad + O\left(\sum_{k \leq x} k^R\right) \\
 &= \frac{1}{(R+1)!} \sum_{k \leq x} \sum_{n \leq k} \frac{\lambda(n)}{n} (k-n)^{R+1} + O(x^{R+1})
 \end{aligned}$$

$$\begin{aligned}
 &= \frac{1}{(R+1)!} \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{n \leq k \leq [x]} (k-n)^{R+1} + o(x^{R+1}) \\
 (1) \quad &= \frac{1}{(R+1)!} \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{0 \leq k \leq [x]-n} k^{R+1} + o(x^{R+1}) \quad \text{as } x \rightarrow \infty.
 \end{aligned}$$

Now

$$(2) \quad \sum_{k=1}^b k^{R+1} = \frac{1}{R+2} b^{R+2} + \sum_{i=1}^{R+1} C_{R+1,i} b^i$$

where the coefficients  $C_{R+1,i}$  are independent of  $b$ . Consequently, from (1) and (2),

$$\begin{aligned}
 (3) \quad A_{R+1}(x) &= \frac{1}{(R+2)!} \sum_{n \leq x} \frac{\lambda(n)}{n} ([x]-n)^{R+2} + \\
 &+ \frac{1}{(R+1)!} \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{i=1}^{R+1} C_{R+1,i} ([x]-n)^i + \\
 &+ o(x^{R+1}).
 \end{aligned}$$

But

$$\begin{aligned}
 &\sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{i=1}^{R+1} C_{R+1,i} ([x]-n)^i \\
 &= \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{i=1}^{R+1} C_{R+1,i} \sum_{t=0}^i \binom{i}{t} [x]^{i-t} (-n)^t \\
 &= \sum_{i=1}^{R+1} C_{R+1,i} \sum_{t=0}^i \binom{i}{t} [x]^{i-t} (-1)^t \sum_{n \leq x} \lambda(n) n^{t-1} \\
 &= o \left( \sum_{i=1}^{R+1} \sum_{t=0}^i x^i \right) \\
 &= o(x^{R+1})
 \end{aligned}$$

since, as noted in section 1,  $\sum_{n \leq x} \lambda(n) n^{t-1} = o(x^t)$ ,

for each integer  $t \geq 0$ .

Thus it follows from (3) that

$$(4) \quad A_{R+1}(x) = \frac{1}{(R+2)!} \sum_{n \leq x} \frac{\lambda(n)}{n} ([x]-n)^{R+2} + o(x^{R+1})$$

as  $x \rightarrow \infty$ .

Finally,

$$\begin{aligned} & \sum_{n \leq x} \frac{\lambda(n)}{n} ([x]-n)^{R+2} \\ &= \sum_{n \leq x} \frac{\lambda(n)}{n} ((x-n) - \{x\})^{R+2} \\ &= \sum_{n \leq x} \frac{\lambda(n)}{n} (x-n)^{R+2} + \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{1 \leq t \leq R+2} (x-n)^{R+2-t} (-1)^t \{x\}^t \binom{R+2}{t} \\ &= \sum_{n \leq x} \frac{\lambda(n)}{n} (x-n)^{R+2} + \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{1 \leq t \leq R+2} \binom{R+2}{t} \sum_{0 \leq s \leq R+2-t} x^{R+2-t-s} (-1)^s n^s (-1)^t \{x\}^t \binom{R+2-t}{s} \\ &= \sum_{n \leq x} \frac{\lambda(n)}{n} (x-n)^{R+2} + \sum_{1 \leq t \leq R+2} \binom{R+2}{t} \sum_{0 \leq s \leq R+2-t} (-1)^{s+t} \{x\}^t x^{R+2-t-s} \binom{R+2-t}{s} \sum_{n \leq x} \lambda(n) n^{s-1} \end{aligned}$$

But, as noted in section 1, for  $s \geq 0$

$$\sum_{n \leq x} \lambda(n) n^{s-1} = o(x^s)$$

as  $x \rightarrow \infty$ . Hence

$$\begin{aligned} (5) \quad & \sum_{n \leq x} \frac{\lambda(n)}{n} ([x]-n)^{R+2} - \sum_{n \leq x} \frac{\lambda(n)}{n} (x-n)^{R+2} \\ &= o \left( \sum_{1 \leq t \leq R+2} \sum_{0 \leq s \leq R+2-t} x^{R+2-t-s} \right) = o(x^{R+1}) \end{aligned}$$

Therefore, the lemma now follows from (4) and (5), and the principle of

induction.

Recalling the notation

$$S_{\kappa}(x) = \sum_{n \leq x} \lambda(n) n^{\kappa}$$

we next have

Lemma 2.

For every integer  $r \geq -1$ ,

$$A_r(x) = \frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} (-1)^{\kappa} x^{r+1-\kappa} S_{\kappa-1}(x) + \\ + O(x^r) \quad \text{as } x \rightarrow \infty.$$

Proof:

From lemma (1)

$$A_r(x) = \frac{1}{(r+1)!} \sum_{n \leq x} \frac{\lambda(n)}{n} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} x^{r+1-\kappa} (-1)^{\kappa} n^{\kappa} + \\ + O(x^r) \\ = \frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} x^{r+1-\kappa} (-1)^{\kappa} \sum_{n \leq x} \lambda(n) n^{\kappa-1} + \\ + O(x^r)$$

as  $x \rightarrow \infty$ ,

then

$$A_r(x) = \frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} (-1)^{\kappa} x^{r+1-\kappa} S_{\kappa-1}(x) + \\ + O(x^r) \quad \text{as } x \rightarrow \infty.$$

Lemma 3.

For  $\sigma > r + 1$ , and every integer  $r \geq -1$ ,

$$\int_1^{\infty} \frac{A_r(x)}{x^{s+1}} dx = \frac{1}{s(s-1)\dots(s-r-1)} \frac{\zeta(2s-2r)}{\zeta(s-r)} + P_r(s)$$

where  $P_r(s)$  is analytic for  $\sigma > r$ .

Proof:

We have noted in (2), Section 1, that

$$\frac{\zeta(2s-2\kappa)}{\zeta(s-\kappa)} = s \int_1^{\infty} \frac{S_{\kappa}(x)}{x^{s+1}} dx$$

for  $\kappa \geq -1$ , and  $\sigma > \kappa + 1$ .

Writing  $s-r+\kappa$  for  $s$  in this formula we have

$$\frac{\zeta(2s-2r)}{\zeta(s-r)} = (s-r+\kappa) \int_1^{\infty} \frac{S_{\kappa}(x)}{x^{s-r+\kappa+1}} dx$$

for  $\sigma > r + 1$ , with  $\kappa \geq -1$ .

Hence

$$(7) \quad \int_1^{\infty} \frac{x^{r-\kappa+1} S_{\kappa-1}(x)}{x^{s+1}} dx = \frac{1}{(s-r+\kappa-1)} \frac{\zeta(2s-2r)}{\zeta(s-r)}$$

for  $\sigma > r + 1$  with  $\kappa \geq 0$ .

Consequently, from lemma 2,

$$\begin{aligned} & \int_1^{\infty} \frac{A_r(x)}{x^{s+1}} dx \\ &= \frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} (-1)^{\kappa} \int_1^{\infty} \frac{x^{r+1-\kappa} S_{\kappa-1}(x)}{x^{s+1}} dx + P_r(s), \end{aligned}$$



where  $P_r(s)$  is analytic for  $\sigma > r$ .

Then from (7) we have for  $\sigma > r + 1$ ,

$$(8) \quad \int_1^{\infty} \frac{A_r(x)}{x^{s+1}} dx$$

$$= \frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} (-1)^{\kappa} \frac{1}{(s-r+\kappa-1)} \frac{\zeta(2s-2r)}{\zeta(s-r)}$$

$$+ P_r(s).$$

Using the 'cover up' rule for partial fractions we easily see that

$$\frac{1}{(r+1)!} \sum_{\kappa=0}^{r+1} \binom{r+1}{\kappa} (-1)^{\kappa} \frac{1}{(s-r+\kappa-1)}$$

$$= \frac{1}{s(s-1)\dots(s-r-1)},$$

and hence from (8),

$$(9) \quad \int_1^{\infty} \frac{A_r(x)}{x^{s+1}} dx = \frac{1}{s(s-1)\dots(s-r-1)} \frac{\zeta(2s-2r)}{\zeta(s-r)} +$$

$$+ P_r(s)$$

for  $\sigma > r + 1$ , where  $P_r(s)$  is analytic for  $\sigma > r$ .

Proof of proposition 1:

For integer  $r \geq -1$  let  $T_r$  be the statement:

For every  $\epsilon > 0$ ,  $A_r(x) = o(x^{\sigma_0+r+\epsilon})$  as  $x \rightarrow \infty$ .

From proposition 3, section 1, we have

$$\text{RH}(\sigma_0) \iff T_{-1} \iff T_0.$$

Clearly,  $T_r \implies T_{r+1}$  for all  $r \geq 0$ . It thus suffices to show

$T_r \Rightarrow \text{RH}(\sigma_0)$  for any fixed  $r \geq -1$ , and this follows readily from (9).

Note 1. With  $B_{-1}(x) = \sum_{n \leq x} \frac{\mu(n)}{n}$

and

$$B_k(x) = \sum_{n \leq x} B_{k-1}(n)$$

for integer  $k \geq 0$ , the method of proof of the preceding proposition leads to an analogue of lemma (3). Namely,

for  $\sigma > r + 1$ , and integer  $r \geq -1$ ,

$$(10) \quad \int_1^{\infty} \frac{B_r(x)}{x^{s+1}} dx = \frac{1}{s(s-1)\dots(s-r-1)\zeta(s-r)} + Q_r(s),$$

where  $Q_r(s)$  is analytic for  $\sigma > r$ . We consequently have

Proposition 2.

For any fixed integer  $r \geq -1$ , the following statements are equivalent

(I)  $\text{RH}(\sigma_0)$ ,

(II) For every  $\varepsilon > 0$ ,  $B_r(x) = o(x^{\sigma_0+r+\varepsilon})$  as  $x \rightarrow \infty$ .

Proof:

c.f. Proposition 1.

Proof 2.

Although we are concentrating mainly on the Möbius function and the Liouville function the preceding propositions apply to the class of functions  $\{\tau^{(k)}\}$  defined for  $k = 2, 3, \dots$  by

$$\sum_{n=1}^{\infty} \frac{\tau^{(k)}(n)}{n^s} \zeta(s) = \zeta(ks), \quad (\sigma > 1),$$

We have  $\tau^{(2)} \equiv \lambda$ , and, in a sense,  $\tau^{(\infty)} \equiv \mu$ .

Section 3.Some results on the oscillatory behaviour of  
certain summatory functions involving  $\mu$  and  $\lambda$ .

Let  $A_r(x)$  and  $B_r(x)$  be defined as in section 2. Let  $\bar{\sigma}$  satisfy  $\frac{1}{2} \leq \bar{\sigma} < 1$  and be such that  $\zeta(s) = 0$  has a solution with  $\sigma \geq \bar{\sigma}$ . From propositions 1 and 2, section 2, it follows that

$$\forall \varepsilon > 0, \quad A_r(x) = \Omega(x^{r+\bar{\sigma}-\varepsilon}),$$

and

$$\forall \varepsilon > 0, \quad B_r(x) = \Omega(x^{r+\bar{\sigma}-\varepsilon}),$$

as  $x \rightarrow \infty$ . Actually, we can say more than this.

Proposition 1.

Let  $r$  be an integer,  $r \geq -1$ , and let  $K$  be any real number. Then for every  $\varepsilon > 0$ ,

$$B_r(x) - K x^{r+\bar{\sigma}-\varepsilon}$$

changes sign infinitely often as  $x \rightarrow \infty$ .

i.e. 
$$\forall \varepsilon > 0, \quad B_r(x) = \Omega_{\pm}(x^{r+\bar{\sigma}-\varepsilon}) \quad \text{as } x \rightarrow \infty.$$

Proof:

For  $\sigma > r + 1$ ,  $r \geq 0$ , let the Dirichlet series  $L_r(s)$  be defined by

$$L_r(s) = \sum_{n=1}^{\infty} \frac{B_r(n) - Kn^{r+\bar{\sigma}-\varepsilon}}{n^s}, \quad \text{where } 0 < \varepsilon < \bar{\sigma}.$$

From proposition 1, section 1,

$$\begin{aligned} L_r(s) &= \sum_{n=1}^{\infty} \frac{B_{r-1}(n)}{n^s} - K \zeta(s-r+1-\bar{\sigma}+\epsilon) \\ &= s \int_1^{\infty} \frac{B_r(x)}{x^{s+1}} dx - K \zeta(s-r+1-\bar{\sigma}+\epsilon) \end{aligned}$$

Hence, from 10, section 2,

$$(1) \quad L_r(s) = \frac{s}{s(s-1) \dots (s-r-1) \zeta(s-r)} - K \zeta(s-r+1-\bar{\sigma}+\epsilon) + Q_r(s),$$

where  $Q_r(s)$  is regular for  $\sigma > r$ .

Suppose that the coefficients of the series for  $L_r(s)$  are eventually of one sign. Then by a classical theorem of Landau, the series has a singularity at the real point on the line of convergence of the series. But the first term in (1),  $\frac{1}{(s-1)(s-2)\dots(s-r-1)\zeta(s-r)}$ , has singularities at  $s = 1, 2, \dots, r$  and  $s = r + \rho$ , where  $\rho$  is a zero of  $\zeta(s)$ . Since  $\zeta(s)$  has no real zeros with  $s \geq 0$  the first term has no real singularities with  $\sigma > r$ . The second term in (1),  $K \zeta(s-r+1-\bar{\sigma}+\epsilon)$ , has no singularities at all for  $\sigma > r + \bar{\sigma} - \epsilon$ . Hence  $L_r(s)$  has no real singularity for  $\sigma > r + \bar{\sigma} - \epsilon$ , and the abscissa of convergence of the Dirichlet series for  $L_r(s)$  must be less than or equal to  $r + \bar{\sigma} - \epsilon$ . Hence  $L_r(s)$  is analytic for  $\sigma > r + \bar{\sigma} - \epsilon$ , and thus, from (1),  $\zeta(s)$  must be non-zero for  $\sigma > \bar{\sigma} - \epsilon$ , which contradicts the definition of  $\bar{\sigma}$ . It follows that the coefficients of the Dirichlet series for  $L_r(s)$  cannot be ultimately of one sign, and this completes the proof.

Let  $r$  be an integer,  $r \geq -1$ , and let  $K$  be any real number.

Then  $\forall \varepsilon > 0, B_r(x) - K x^{r+\frac{1}{2}-\varepsilon}$

changes sign infinitely often as  $x \rightarrow \infty$ .

Proof:

This follows since  $\bar{\sigma} \geq \frac{1}{2}$ .

As a corollary to the method of proof of proposition 1 we also have

Corollary 2.

Let  $r$  be an integer,  $r \geq -1$ , and let  $K$  be any real number. Let  $1 \geq \sigma_0 \geq \frac{1}{2}$ . If  $B_r(x) - K x^{r+\sigma_0}$  is eventually of one sign as  $x \rightarrow \infty$ , then  $\text{RH}(\sigma_0)$  is true.

Proof:

Let  $B_r(x) - K x^{r+\sigma_0}$  be eventually of one sign as  $x \rightarrow \infty$ . Then with  $\sigma_0$  playing the role of  $\bar{\sigma}$  in the equations leading up to (1) we find

$$L_r(s) = \frac{1}{(s-1) \dots (s-r-1) \zeta(s-r)} - K \zeta(s-r+1-\sigma_0) + Q_r(s),$$

where  $Q_r(s)$  is regular for  $\sigma > r$ . As in proposition 1 we then have  $Q_r(s)$  analytic for  $\sigma > \sigma_0 + r$  and consequently  $\zeta(s) \neq 0$  for  $\sigma > \sigma_0$ .

Analogous results to proposition 1 hold for the corresponding summatory functions associated with  $\tau^{(k)}$  for  $k = 3, 4, \dots$ , where we recall

$$\sum_{n=1}^{\infty} \frac{\tau^{(k)}(n)}{n^s} \zeta(s) = \zeta(ks), \quad (\sigma > 1).$$

However, for  $k = 2$ ,  $\tau^{(2)} \equiv \lambda$ , and the equation corresponding to (10) is

$$L_r(s) = \frac{1}{(s-1)(s-2)\dots(s-r-1)} \frac{\zeta(2s-2r)}{\zeta(s-r)} + \\ - K\zeta(s-r+1-\bar{\sigma}+\epsilon) + P_r(s).$$

The pole of  $\zeta(2s-2r)$  at  $s = r + \frac{1}{2}$  prevents the argument in proposition 1 following here in the case  $\bar{\sigma} = \frac{1}{2}$ . But for  $\bar{\sigma} > \frac{1}{2}$  the corresponding result holds.

i.e.

Proposition 2.

Let  $\bar{\sigma}$  satisfy  $\frac{1}{2} < \bar{\sigma} < 1$  and be such that  $\zeta(s) = 0$  has a solution with  $\sigma \geq \bar{\sigma}$ . Let  $r$  be an integer,  $r \geq -1$ . Then for every  $\epsilon > 0$ ,

$$A_r(x) = \Omega_{\pm}(x^{r+\bar{\sigma}-\epsilon})$$

as  $x \rightarrow \infty$ .

Proof:

Similar to that of proposition 1. A result corresponding to corollary 1 cannot be stated here for the  $A_r(x)$ , since proposition 2 assumes  $\bar{\sigma} > \frac{1}{2}$ , and if  $\text{RH}(\frac{1}{2})$  is true it is conceivable that the  $A_r(x)$  are eventually of one sign as  $x \rightarrow \infty$  for some  $r \geq R > -1$ .

However, we do have an analogue of corollary 2, for the  $A_r(x)$ .

Finally,

Corollary 3.

Let  $r$  be an integer,  $r \geq -1$ , and let  $K$  be any real number.

If  $\sigma_0 \geq \frac{1}{2}$ . If  $A_r(x) - Kx^{r+\sigma_0}$  is eventually of one sign as

then  $\text{RH}(\sigma_0)$  is true.

Proof:

Similar to that of corollary 2.

Note 2. These results improve and generalise the result of Lehmer and Selberg [1], that  $B_0(x) - K$  changes sign infinitely often as  $x \rightarrow \infty$ , and generalise the well known result that if

$\frac{H(x)}{x^{\frac{1}{2}}}$  is either bounded above or below then  $\text{RH}(\frac{1}{2})$  is true.

References

Backlund, R.

- [1] Über die Beziehung zwischen Anwachsen und Nullstellen der Zetafunktion, *Cfversigt Finska Vetensk. Soc.* 61, (1918-1919), No. 9.

Berlowitz, B.

- [1] Extensions of a theorem of Hardy, *Acta. Arith.* 14, (1967-1968), p. 203-207.

Berndt, B.C.

- [1] On the zeros of Riemann's zeta-function, *Proc. Amer. Math. Soc.* 22, (1969), p. 183-188.

Beuring, A.

- [1] Analyse de la loi asymptotique de la distribution des nombres premiers generalises, *Acta. Math.* 68, (1937), p. 255-291.

Braun, P.B.

- [1] See A. Zulauf [1].
- [2] Unpublished notes.
- [3] (with A. Zulauf) A problem connected with the zeros of Riemann's zeta-function, *Proc. Amer. Math. Soc.* 36, (1972). No. 1, p. 18-20.
- [4] A series representation for Riemann's E-function, *Mathematics Research Report, Univ. Of Waikato*, No. 7, (1972).
- [5] (with A. Zulauf) An elementary connection between the orders of  $M(x)$  and  $\psi(x) - x$ , *Mathematics Research Report, Univ. of Waikato*, No. 58, (1978).
- [6] See A. Zulauf [6].

Davenport, H.

- [1] *Multiplicative Number Theory*, Markham Publishing Co. 1967.

Edwards, H.M.

- [1] *Riemann's Zeta Functions*, Academic Press, 1974.

Formenko, O.M.

- [1] Two hypotheses in the theory of prime numbers, (Russian), *Rev. Math. Pures Appl.* 6, (1961), p. 745-746.

Frankel, J., and E. Landau.

- [1] Les suites de Farey et le problème des nombres premiers, *Göttinger Nachrichten.* (1924), p. 198-206.



- Gelfond, A.O., and Yu. V. Linnik.  
 [1] Elementary Methods in Analytic Number Theory. Rand McNally and Co., (1965).
- Haselgrove, C.B.  
 [1] A disproof of a conjecture of Polya, *Mathematika*, 5, (1958), p. 141-145.  
 [2] Tables of the Riemann zeta function, Royal Soc. Math. Tables, Vol. 6. (1960), U.P. Cambridge.
- Huxley, M.N.  
 [1] On the difference between consecutive primes, *Inventiones math.* 15, (1972), p. 164-170.
- Ingham, A.E.  
 [1] Reviews in Number Theory, Amer. Math. Soc. Vol. 4, Review article N. 44-16, p. 276.
- Jahnke, Emde, and Losch,  
 [1] Tables of Higher Functions, ((vi) edition), McGraw-Hill, (1960).
- Jutila, M.  
 [1] On Linnik's constant, *Math. Scand.*, 41, (1977), p. 45-62.
- Kopriva, J.  
 [1] O jednom vztahu Fareyovy rady k Riemannove domence o nulovych bodech funkce  $\xi$ , *Časopis pro pestovani matematiky*, 78, (1953), p. 49-55.
- Lehmer, D.H., and S. Selberg.  
 [1] A sum involving the function of Möbius, *Acta. Arith.* VI (1960), p. 111-114.
- Le Veque, W.J.  
 [1] Reviews in Number Theory, Amer. Math. Soc., Vol. 4, (1974), p. 335-367.
- Levinson, N.  
 [1] On Theorems of Berlowitz and Berndt, *Jour. No. Theory* 3, (1971), p. 502-504.
- Littlewood, J.E.  
 [1] Quelques conséquences de l'hypothèse que la fonction  $\zeta(s)$  de Riemann n'a pas de zéros dans le demi-plan  $R(s) > \frac{1}{2}$ , *C.R.* 154 (1912), p. 263-266.
- Montgomery, H.L.  
 [1] Review 3338, *Math. Reviews*, 45, (1973), p. 613.
- Selberg, S.  
 [1] Über eine Vermutung von P. Turan, *Norske Vid. Selsk. Forh.*, Trondheim 29, (1956), p. 33-35.
- Spira, R.  
 [1] The integral representation for the Riemann E-function, *Jour. No. Theory*, No. 4, (1971), p. 498-501.

Titchmarsh, E.C.

[1] The Theory of the Riemann Zeta-function, Oxford 1951.

[2] The Theory of Functions, O.U.P. (1939).

Zulauf, A.

[1] (with P.B. Braun) General Theorems on Special Divisor Problems, Mathematics Research Report, Univ. of Waikato, No. 57, (1978).

[2] Unpublished notes.

[3] See P.B. Braun [3].

[4] The Distribution of Farey Numbers, Journal für die reine und angewandte Mathematik, Band 289, p. 209-213.

[5] See P.B. Braun [5].

[6] (with P.B. Braun) Generalized Integers and Generalized Logarithms, Mathematics Research Report, Univ. of Waikato, No. 59 (1978).